

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
GLAUKO.005C1APPLICATION NO.
10/626,181INFORMATION DISCLOSURE STATEMENT
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT
Morteza Gharib, et al.FILING DATE
July 24, 2003GROUP ART UNIT
Unknown

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
FZ	1	RE 35,390	12-03-1996	Stewart G. Smith			
	2	3,788,327	01-29-1974	Howard Donowitz, et al.			
	3	4,037,604	07-26-1977	John B. Newkirk			
	4	4,168,697	09-25-1979	Erdem I. Cantekin			
	5	4,175,563	11-27-1979	Irving K. Arenberg, et al.			
	6	4,402,681	09-06-1983	Joseph S. Haas, et al.			
	7	4,428,746	01-31-1984	Antonio Mendez			
	8	4,501,274	02-26-1985	Finn Skjaerpe, et al.			
	9	4,521,210	06-04-1985	Vernon G. Wong			
	10	4,554,918	11-26-1985	Thomas C. White			
	11	4,604,087	08-05-1986	Neil H. Joseph			
	12	4,632,842	12-30-1986	Theodore Karwoski, et al.			
	13	4,634,418	01-06-1987	Perry S. Binder			
	14	4,718,907	01-12-1988	Theodore Karwoski, et al.			
	15	4,722,724	02-02-1988	Stanley Schocket			
	16	4,733,665	03-29-1988	Julio C. Palmaz			
	17	4,750,901	06-14-1988	Anthony C. B. Moltano			
	18	4,787,885	11-29-1988	Perry S. Binder			
	19	4,804,382	02-14-1989	Marko Turina, et al.			
	20	4,820,626	04-11-1989	Stuart K. Williams, et al.			
	21	4,846,172	07-11-1989	Michael S. Berlin			
	22	4,863,457	09-05-1989	David A. Lee			
	23	4,886,488	12-12-1989	Thomas C. White			
	24	4,900,300	02-13-1990	David A. Lee			
	25	4,936,825	06-26-1990	Bruce A. Ungerleider			
	26	4,946,436	08-07-1990	Stewart G. Smith			
FZ	27	4,968,296	11-06-1990	Robert Ritch, et al.			

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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)	ATTY. DOCKET NO. GLAUKO.005C1	APPLICATION NO. 10/626,181
	APPLICANT Morteza Gharib, et al.	
	FILING DATE July 24, 2003	GROUP ART UNIT Unknown

U.S. PATENT DOCUMENTS

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FZ	28	5,041,081	08-20-1991	Ronald B. Odrich			
	29	5,073,163	12-17-1991	Myron E. Lippman			
	30	5,092,837	03-03-1992	Robert Ritch, et al.			
	31	5,095,887	03-17-1992	Leon, et al.			
	32	5,127,901	07-07-1992	Odrich			
	33	5,129,895	07-14-1992	Vassiliadis, et al.			
	34	5,171,213	23-15-1992	Francis W. Price, Jr.			
	35	5,178,604	01-12-1993	Baerveldt, et al.			
	36	5,180,362	01-19-1993	J. G. F. Worst			
	37	5,246,451	09-21-1993	Trescony, et al.			
	38	5,290,295	03-01-1994	Querals, et al.			
	39	5,300,020	04-05-1994	L'Esperance, Jr.			
	40	5,318,513	06-07-1994	Leib, et al.			
	41	5,334,137	08-02-1994	Jerre M. Freeman			
	42	5,338,291	08-16-1994	Speckman, et al.			
	43	5,346,464	09-13-1994	Carl B. Camras			
	44	5,360,399	11-01-1994	Robert Stegmann			
	45	5,370,607	12-06-1994	James Memmen			
	46	5,370,641	12-06-1994	Francis E. O'Donnell, Jr.			
	47	5,372,577	12-13-1994	Bruce Ungerleider			
	48	5,397,300	03-14-1995	Baerveldt, et al.			
	49	5,433,701	07-18-1995	Mark Rubinstein			
	50	5,454,796	10-03-1995	Theodore Krupin			
	51	5,476,445	12-19-1995	Baerveldt, et al.			
	52	5,472,440	12-05-1995	Hugh Beckman			
FZ	53	5,486,165	01-23-1996	Robert Stegmann			

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FZ	54	5,516,522	05-14-1996	Peyman, et al.			
	55	5,520,631	05-28-1996	Nordquist, et al.			
	56	5,557,453	09-17-1996	Schalz, et al.			
	57	5,558,629	09-24-1996	Baerveldt, et al.			
	58	5,558,630	09-24-1996	Bret Fisher			
	59	5,562,641	10-08-1996	Flomenblit, et al.			
	60	5,601,094	02-11-1997	George Reiss			
	61	5,601,549	02-11-1997	Kunihiko Miyagi			
	62	5,626,558	05-06-1997	John Suson			
	63	5,626,559	05-06-1997	Arie Solomon			
	64	5,639,278	06-17-1997	Dereume, et al.			
	65	5,651,783	07-29-1997	Michael Reynard			
	66	5,665,114	09-09-1997	Weadock, et al.			
	67	5,670,161	09-23-1997	Healy, et al.			
	68	5,676,679	10-14-1997	Simon, et al.			
	69	5,681,275	10-28-1997	Abdul Mateen Ahmed			
	70	5,702,414	12-30-1997	Richter, et al.			
	71	5,702,419	12-30-1997	Berry, et al.			
	72	5,704,907	01-06-1998	Nordquist, et al.			
	73	5,713,844	02-03-1998	Gholam Peyman			
	74	5,723,005	03-03-1998	Robert S. Herrick			
	75	5,741,333	04-21-1998	Noureddine Frid			
	76	5,743,868	04-28-1998	Reay H. Brown, et al.			
	77	5,752,928	05-19-1998	de Rouilhac, et al.			
	78	5,766,243	06-16-1998	Christensen, et al.			
FZ	79	5,785,674	07-28-1998	Almed Abdul Mateen			

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FZ	80	5,807,302	09-15-1998	Thaddeus Wandel			
	81	5,810,870	09-22-1998	Myers, et al.			
	82	5,830,139	11-03-1998	Marcio M. Abreu			
	83	5,830,171	11-03-1998	Raymond G. Wallace			
	84	5,836,939	11-17-1998	Negus, et al.			
	85	5,865,831	02-02-1999	Cozean, et al.			
	86	5,868,697	02-09-1999	Richter, et al.			
	87	5,879,319	03-09-1999	Pynson, et al.			
	88	5,882,327	03-16-1999	Jean T. Jacob			
	89	5,886,822	03-23-1999	Mark B. Spitzer			
	90	5,893,837	04-13-1999	Eagles, et al.			
	91	5,908,449	06-01-1999	Bruchman, et al.			
	92	5,932,299	08-03-1999	Mohammad W. Katoot			
	93	5,968,058	10-19-1999	Richter, et al.			
	94	5,981,598	11-09-1999	William G. Tatton			
	95	6,004,302	12-21-1999	Lawrence A. Brierley			
	96	6,007,510	12-28-1999	Alok Nigam			
	97	6,007,511	12-28-1999	Arnold S. Prywes			
	98	6,033,434	03-07-2000	Enzo Borghi			
	99	6,045,557	04-04-2000	White, et al.			
	100	6,050,970	04-18-2000	George Baerveldt			
	101	6,059,772	05-09-2000	Hsia, et al.			
	102	6,059,812	05-09-2000	Clerc, et al.			
	103	6,063,116	05-16-2000	Peter J. Kelleher			
	104	6,063,396	05-16-2000	Peter J. kelleher			
FZ	105	6,071,286	06-06-2000	Michel Mawad			

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FZ	106	6,077,299	06-20-2000	Adelberg, et al.			
	107	6,102,045	08-15-2000	Nordquist, et al.			
	108	6,142,990	11-07-2000	Reinhard O. W. Burk			
	109	6,165,210	12-26-2000	Lau, et al.			
	110	6,168,575	01-02-2001	David Pyam Soltanpour			
	111	6,174,305	01-16-2001	Mikus, et al.			
	112	6,187,016	02-13-2001	Hedges, et al.			
	113	6,193,656	02-2-7-2001	Jeffries, et al.			
	114	6,197,056	03-06-2001	Ronald A. Schachar			
	115	6,203,513	03-20-2001	Yaron, et al.			
	116	6,228,873	05-08-2001	Brandt, et al.			
	117	6,231,597	05-15-2001	Deem, et al.			
	118	6,241,721	06-05-2001	Cozean, et al.			
	119	6,254,612	07-03-2001	Grant Hieshima			
	120	6,266,182	07-24-2001	Kazuo Morita			
	121	6,268,398	07-31-2001	Ghosh, et al.			
	122	6,342,058	01-29-2002	Valdemar Portney			
	123	6,375,642	04-23-2002	Grieshaber, et al.			
	124	6,428,501	08-06-2002	Michael Reynard			
	125	6,450,984	09-17-2002	Lynch, et al.			
	126	6,464,724	10-15-2002	Lynch, et a.			
	127	6,533,768	03-18-2003	Richard A. Hill			
	128	6,544,249	04-08-2003	Dao-Yi-Yu, et al.			
	129	6,585,680	07-01-2003	Mogens Bugge			
	130	6,629,981	10-07-2003	Bui, et al.			
FZ	131	US2002/0013546 A1	01-31-2002	Grieshaber, et al.			

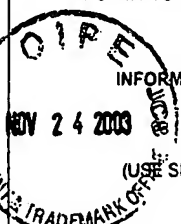
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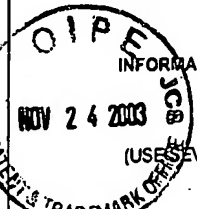
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FZ	132	US2002/0026200 A1	02-28-2002	James A. Savage			
FZ	133	US2002/0072673 A1	06-13-2002	Yamamoto, et al.			
FZ	134	US2002/0099434 A1	07-25-2002	Buscemi, et al.			
FZ	135	US2003/0069637 A1	04-0-2003	Lynch, et al.			

FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
FZ	136	WO 89/00869 ✓	02-09-1989	PCT				
	137	WO 91/18569 ✓	12-12-1991	PCT				
	138	WO 92/19294 ✓	11-12-1992	PCT				
	139	WO 94/13234 ✓	06-23-1994	PCT				
	140	WO 94/21205 ✓	09-29-1994	PCT				
	141	WO 95/08310 ✓	03-30 1995	PCT				
	142	WO 98/30181 ✓	07-16-1998	PCT				
	143	WO 98/35639 ✓	08-20-1998	PCT				
	144	WO 99/26567 ✓	06-03-1999	PCT				
	145	WO 99/30641 ✓	06-24-1999	PCT				
	146	WO 99/38470 ✓	08-05-1999	PCT				
	147	WO 00/13627 ✓	03-16-2000	PCT				
	148	QO 00/64389 ✓	11-02-2000	PCT				
	149	WO 00/64390 ✓	11-02-2000	PCT				
	150	WO 00/64391 ✓	11-02-2000	PCT				
	151	WO 00/64393 ✓	11-02-2000	PCT				
	152	WO 00/72788 ✓	12-07-2000	PCT				
	153	WO/01/50943 ✓	07-19-2001	PCT				
FZ	154	WO 01/78631 ✓	10-25-2001	PCT				

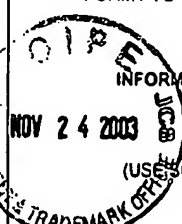
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							YES	NO
FZ	155	WO 01/78656 ✓	10-25-2001	PCT				
	156	WO 03/015659 ✓	02-27-2003	PCT				
	157	AU 200072059 ✓	12-06-2000	Australia				
	158	CA 2,244,646 ✓	08-11-1998	Canada				
	159	DE 198 40 047 ✓	02-09-1998	Germany				
	160	EP 0 858 788 ✓	08-19-1998	Europe				
	161	EP 1 114 627 ✓	11-07-2001	Europe				
	162	EP 0 898 947 ✓	03-03-1999	Europe				
	163	GB 2 296 663 ✓	03-01-1995	United Kingdom				
	164	FR 2 710 269 ✓	09-22-1993	France				
FZ	165	JP 11-123205 ✓		Japan				

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FZ	166.	Jacobi et al., <i>Goniocurettage for Removing Trabecular Meshwork: Clinical Results of a New Surgical Technique in Advanced Chronic Open-Angle Glaucoma</i> , American Journal of Ophthalmology, May 1999, Vol. 127, No. 5, Pages 505-510.
	167.	Jacobi et al., <i>Bimanual Trabecular Aspiration in Pseudoexfoliation Glaucoma: An Alternative in Nonfiltering Glaucoma Surgery</i> , American Journal of Ophthalmology, May 1998, Vol. 105, No. 5, Pages 886-894.
	168.	Jacobi et al., <i>Microendoscopic Travecular Surgery in Glaucoma Management</i> , American Journal of Ophthalmology, March 1999, Vol. 106, No. 3, Pages 538-544.
	169.	Schwartz et al., <i>Trabecular Surgery</i> , Arch. Ophthalmol, August 1974, Vol. 92, Pages 134-138.
	170.	Hill et al., <i>Free-Electron Laser (FEL) Ablation of Ocular Tissues</i> , Lasers Med Sci, 1998, Vol. 13, Pages 219-226.
	171.	Luntz et al., <i>Trabeculectomy AB Externo and Trabeculectomy in Congenital and Adult-Onset Glaucoma</i> , American Journal of Ophthalmology, February 1977, Vol. 83, No.2, Pages 174-179.
	172.	W. M. Grant, <i>Further Studies on Facility of Flow Through the Trabecular Meshwork</i> , AMA Archives of Ophthalmology, October 1958, Vol. 60, Pages 523-533.
	173.	Hill et al., <i>Laser Trabecular Ablation (LTA)</i> , Lasers in Surgery and Medicine, 1991, Vol. 11, Pages 341-346.
	174.	Spiegel et al., <i>Schlemm's Canal Implant: A New Method to Lower Intraocular Pressure in Patients With POAG?</i> , Ophthalmic Surgery and Lasers, June 1999, Vol. 30, No. 6, Pages 492-494.
FZ	175.	L.J. Katz, <i>A Call for Innovative Operations for Glaucoma</i> , Arch. Ophthalmol, March 2000, Vol. 118, Pages 412-413.

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FZ	176.	Detlev Spiegel, <i>Benefits and Risks of Ophthalmological Therapy: 7 Surgical Glaucoma Therapy</i> , Main Presentations at the XXXIII Continuing Education for Ophthalmologists in Essen (Germany), published December 1998.
	177.	Hoerauf et al., <i>Slit-lamp-adapted optical coherence tomography of the anterior segment</i> , Graefes Arch Clin Exp Ophthalmol (2000), Vol. 237, Pages 8-18.
	178.	Radhakrishnan et al., <i>Real-Time Optical Coherence Tomography of the Anterior Segment at 1310 nm</i> , Arch Ophthalmol, August 2002, Vol. 119, Pages 1179-1185.
	179.	Grierson et al., <i>Age-related Changes in the Canal of Schlemm</i> , Eye Res. (1984) Vol. 39, Pages 505-512.
	180.	Putney et al., <i>Intracellular C1 regulates Na-K-C1 cotransport activity in human trabecular meshwork cells</i> , American Journal of Physiology, September 1999, Vol. 277, Pages C373-383.
	181.	K. Strange, <i>Cellular and Molecular Physiology of Cell Volume Regulation</i> , CTC Press, Inc: 1994, Pages 311-321.
	182.	Tatton et al., <i>Maintaining Mitochondrial Membrane Impermeability: An opportunity for New Therapy in Glaucoma?</i> , Survey of Ophthalmology May 2001, Vol. 45, Supplement 3, Pages S277-283.
	183.	R.W. Nickells, <i>Apoptosis of Retinal Ganglion Cells in Glaucoma: An Update of the Molecular Pathways Involved in Cell Death</i> , Survey of Ophthalmology June 1999, Vol. 43, Supplement 1, Pages S151-161.
	184.	J.W. Rohen, <i>Anatomy of the aqueous outflow channels</i> , Glaucoma: Volume 1, Grune & Stratton: 1986. Pages 277-296.
	185.	Matsumoto et al., <i>Trabecular Meshwork Phagocytosis in Glaucomatous Eyes</i> , Ophthalmologica 1997, Vol. 211, Pages 147-152.
	186.	M. B. Shields, <i>A Study Guide for Glaucoma</i> , Williams & Wilkins: 1982, Pages 6-43.
	187.	Johnstone et al., <i>Cylindrical Tubular Structures Spanning from Trabecular Meshwork Across SC: Laboratory Studies With SEM, TEM & Tracers Correlated with Clinical Findings</i> , Abstract Paper No. 18. Page 39 from American Glaucoma Society 12 th Annual Meeting.
✓	188.	W.G. Tatton, <i>Apoptotic mechanisms in neurodegeneration: Possible relevance to glaucoma</i> , European Journal of Ophthalmology 1999, Vol. 9, Supplement 1, Pages S22-29.
FZ	189.	U.S. Patent Application Serial No. 09/452,963, filed December 2, 1999. Title: Expandable/Retractable Stent For Venous and Valvular Annulus Use.

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